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#### RECAP VOLUME

### CULTURE





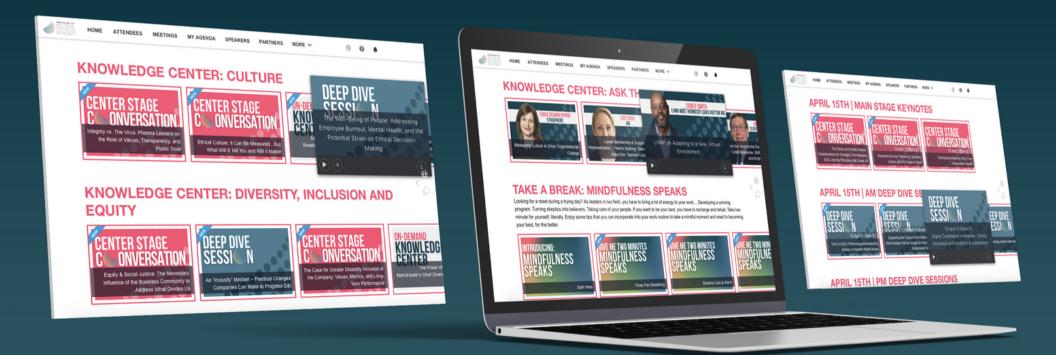
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# WATCH ON DEMAND IN THE KNOWLEDGE CENTER

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#### Interested in learning more about Ethical Culture?

Visit our blog and read about the Eight Pillars of Ethical Culture, and more! www.ethisphere.com/culture

**View Resources** 

### WELCOME

We all knew that the 2021 Global Ethics Summit was going to be very different. We challenged ourselves by introducing a simple theme for our first-ever virtual Global Ethics Summit: For the Better. We achieved that status and more. Here's why...

- **Record-breaking participation:** The Summit upheld our tradition as the largest annual assembly of our Business Ethics Leadership Alliance (BELA) community. This year, BELA participation nearly tripled and accommodated larger geographically dispersed teams.
- **Unparalleled expertise:** The Summit featured more than 50 hours of expert insights, both live and on-demand. We tapped into the knowledge of 125 faculty members who were candid, offered practical advice and inspirational words of wisdom. BELA anchored and enriched the shared knowledge by comprising 60% of the faculty.
- Strategic partners: Our global BELA partners including EY, Baker McKenzie, and Morrison & Foerster - and Summit sponsors share in our vision to help clients and stakeholders improve. Each year they inject an impressive level of expertise to fuel conversations, but this year required even more focus on transformational solutions. We are proud to work with some of the finest organizations that embody this level of adaptation and sophistication.
- Latest approaches: The variety of keynote conversations, deepdive breakouts, a new Ask the Expert series, and written resources offered insights on some of the leading issues that the BELA community continues to analyze. At a premium was commentary on remote investigations, culture measurement, updated DOJ guidance, digitalization of compliance, and more. Leaders also shared approaches to some of the most challenging issues today, including social justice, the race for vaccination, and employee well-being.

We curated all of the sessions along with additional resources into topically-oriented 'Knowledge Centers' featured on the Summit website. As a BELA member, you have complimentary access, and we encourage you to make this an immersive experience for your C&E colleagues, teams, and business partners. Revisit, discuss, and evolve plans to create more integrity-based pathways for your programs.

Erica Salmon Byrne and I joined you in real-time from a live studio. We thought it was cool. We had fun and we learned plenty. We hope you did too. It was all designed for the better, and thanks to the BELA community, it was.

This recap cannot possibly capture everything that made this Summit as indelible as any event we have ever held. I hope it serves as a guide to how BELA companies, and the leaders within, are driving business performance that is founded in ethics and integrity.

With deepest gratitude and admiration,



LEADERSHIP ALLIANCE An Ethisphere Community

**BUSINESS ETHICS** 

Kevin McCormack, J.D., M.B.A.

Senior Vice President and Executive Director, Business Ethics Leadership Alliance (BELA)

### GLOBAL ETHICS SUMMIT 2021: CULTURE

At this year's 2021 Global Ethics Summit, culture was a major topic of interest for many leaders and organizations around the globe. That's why this recap is dedicated to all things culture-related.

Ethical culture is so important. We all know that employees value working in an environment in which they believe that the company and their peers are committed to doing the right thing. They feel more comfortable speaking up when something isn't right...and importantly, in other ways, like when they have a great product idea, in meetings, and to leadership.

There is value in these voices.

A culture grounded in ethics has tangible business benefits. Our data shows that companies prioritizing business integrity have better long-term performance. We also know that when ethics is taken seriously, employees are more likely to report concerns internally rather than taking other approaches, such as calling regulators.

It's easy to say 'we have a culture of compliance.' The real question is how do you know? How does ethics thrive at every level and across every region? How do you evolve programs to maintain engagement?

In this recap, we share sessions and perspectives highlighting the important ways that companies are working to embed integrity in their organizations. We hear how leaders are taking innovative approaches to address tough issues such as employee mental health and remote work environments. The sessions also offer practical advice for improving programs based on actual experiences.

I invite you to read on, watch the sessions, and take away practical ideas from these inspirational insights.

At the Global Ethics Summit, we are so honored to hear from senior leaders committed to building **world-class programs** and sharing their insights so that others may learn and improve.

It exemplifies a phrase we often use: 'there's no competition in compliance.'



Erica Salmon Byrne, J.D.

Executive Vice President and Chair of the Business Ethics Leadership Alliance (BELA)

### CULTURE IN THE ORGANIZATION

SENIOR LEADERS DISCUSS THE IMPORTANCE OF BUSINESS INTEGRITY

FEATURED SESSIONS:

- Integrity vs. The Virus: Pfizer and Regeneron Pharmaceuticals on the Role of Values, Transparency, and Public Trust
- The CEO Conversation: Flex, Premier Inc., and Husch Blackwell discuss Creating Stakeholder Value for the Long-Term
- Business Survival, Preserving Company Culture, and the Future of Work

### HOW TRANSPARENCY BOOSTED PUBLIC UNDERSTANDING OF THE PHARMA INDUSTRY

During this Center Stage Conversation, integrity leaders, Beth Holly, Senior Vice President, Associate General Counsel & Chief Compliance Officer, **Regeneron Pharmaceuticals, Inc**, and Rady Johnson, Executive Vice President, Chief Compliance, Quality & Risk Officer, **Pfizer**, discussed how their companies leaned on their culture and values to provide unprecedented transparency and earn the public trust in a time of heightened stakes and scrutiny.

Holly and Johnson reflected on:

- The new partnerships they developed
- Balancing normal operations alongside pandemic response, how silos were broken down
- Ways the industry has been changed by new collaborations
- How transparency boosted the public's understanding of the industry and may translate into sustained trust going forward

Because we had an established system of integrity and trust that we are proud of, when everything started moving quickly— **it was just recalibrating that system**, and we didn't need to do anything differently.



#### **Rady Johnson**

Chief Compliance, Quality and Risk Officer, Executive Vice President, Pfizer

Read Law.com's Full Session Coverage

Watch Full Session in the Knowledge Center

66

Having a strong culture of compliance and integrity enabled us to pivot and move quickly, this is the payoff —we view ourselves as business enablers.



#### **Beth Holly**

Senior Vice President, Associate General Counsel & Chief Compliance Officer, Regeneron Pharmaceuticals, Inc. Integrity vs. The Virus: Pharma Leaders on the Role of Values, Transparency, and Public Trust

> Beth Holly SENIOR VP, CHIEF COMPLIANCE OFFICER.

**View Session** 

Rady Johnson EXECUTIVE VP, CHIEF COMPLIANCE,

### CEOS DISCUSS LESSONS LEARNED IN THE PAST 12 MONTHS AND ITS IMPACT ON CULTURE AND ETHICAL LEADERSHIP

Leading thinkers and do-ers in business ethics came together for a Center Stage conversation featuring Revathi Advaithi, Chief Executive Officer, **Flex**; Michael Alkire, President and Incoming Chief Executive Officer, **Premier Inc**; and Catherine L. Hanaway, Chair, **Husch Blackwell**.

In this session, the CEOs discussed key lessons learned in the past 12 months; and also answered how the pandemic has hindered performance, culture, access to talent, and ethical leadership.

Alkire emphasized the importance of ethics, particularly during times of disruption. Premier Inc. is a 10-year recipient of the World's Most Ethical Companies designation and integrity is central to the ethos of the company.

Advaithi shared that it all came down to culture. In the session, she explained that one of the core tenets at Flex is to do the right thing. For example, when customers needed help to locate medical equipment or get a factory started up that has never produced a product before, Flex employees took the challenge head-on, and stepped up because it meant the company was impacting and improving the pandemic, she said.

Watch Full Session in the Knowledge Center



Even more important is building a culture to **sustain in times of crisis**—and it takes a long time to build that culture.



#### **Catherine L. Hanaway**

Chair, Husch Blackwell

When you have really, really strong processes and you have a **strong ethical culture at the core of the business,** you are able to respond as quickly as possible to events like the global pandemic.



#### **Michael Alkire**

President and Incoming Chief Executive Officer, Premier Inc

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For me, it's fundamentally important that our culture, our ways of working as we call it, just incorporates the behavior we want in every individual across the world; we're a company of people, at the end of the day.



Revathi Advaithi

**Chief Executive Officer**, Flex

The CEO Conversation: New Strategies for Creating Stakeholder Value for the Long-Term

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**View Session** 

The CEO Conversation: New Strategies for

Ine UEU Conversation: New Strategies to Creating Stakeholder Value for the Long-

Term

SPEAKERS

### ZOOM DISCUSSES BUSINESS SURVIVAL, PRESERVING COMPANY CULTURE, AND THE FUTURE OF WORK

As companies pivoted to the virtual setting, Zoom has continued to lead the way in connecting business leaders, educators, and others via its platform. In this session, Aparna Bawa, Chief Operating Officer & Interim Chief Legal Officer, **Zoom;** and Tessa J. Schwartz, Managing Partner, **Morrison & Foerster** discussed Zoom's shifting business model, strategies, and how to deal with radical changes in the business landscape.

"What we have realized is that we were able to provide a human connection to so many different people around the globe," said Bawa. "It started around the March 2020 timeframe when we realized that we are a vehicle to provide continuity for children's education. Education is very important for Zoom, it is a key value in our philanthropic endeavors."

Watch Full Session in the Knowledge Center

 $\frac{MORRISON}{FOERSTER}$ 

'I think there are a lot of different ways to **articulate culture and value** across your organization, but the **trick is to have some articulation**."



Aparna Bawa

Chief Operating Officer and Chief Legal Officer Zoom



Corporate integrity is not 'greenwashing' or being seen to be doing the right thing. Particularly during a crisis, integrity and a focus on compliance are paramount. It means behaving in a way that protects the organization and generates long-term value for communities and other stakeholders.

**Tony Jordan** 

EY Americas Forensic & Integrity Services Leader

Listen to the Conversation







Setting the Next Integrity Agenda as Companies Emerge from the Pandemic

### **CULTURE FOUNDATIONS**

INSIGHTS ON THE ESSENTIALS OF AN EFFECTIVE ETHICAL CULTURE

#### FEATURED SESSIONS

- Ethical Culture: It Can Be Measured...But What Will it Tell You and Will it Matter?
- Kimberly-Clark on Creating and Sustaining an Upstander Culture
- Fostering a Speak Up Culture Across all Company Stakeholders
- Ethisphere Leaders Discuss Managers as Messengers–Using Breakthrough Communication Tools to Change Culture

### MEASURING ETHICAL CULTURE

Culture is often casually defined as "the way we do things around here." To us, ethical culture is about whether employees know what is expected of them and are comfortable asking questions when they need help.

In this Center Stage Conversation at the Summit, Ethisphere EVP Erica Salmon Byrne discussed:

- Key trends and insights found in Ethisphere's global dataset of more than 1.1 million employee responses
- The importance of managers in fostering a speak-up culture and ways to equip them to make ethics part of the ongoing conversation
- Reasons employees don't speak up and ways to engage them through different modalities and approaches

Watch Full Session in the Knowledge Center



Demographic analysis can be so incredibly powerful, because it will give you a **sense of where the pockets are**, not only of places where you have an opportunity for improvement in your culture but equally important, the **places that are already strong**. So, never miss an opportunity to port a practice that is working from one part of the business to the other.

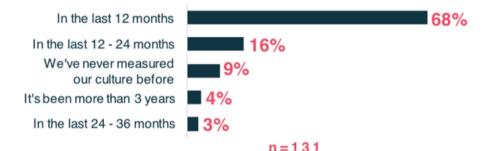


Erica Salmon Byrne, J.D.

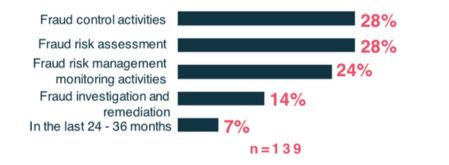
Executive Vice President and Chair of BELA

### POLLING RESULTS FROM THE GLOBAL ETHICS SUMMIT ON ETHICAL CULTURE

#### WHEN IS THE LAST TIME YOU MEASURED YOUR CULTURE?



TO WHAT EXTENT DO YOU AGREE OR DISAGREE WITH THE FOLLOWING STATEMENT? EMPLOYEES IN MY ORGANIZATION CAN REPORT WRONG-DOING AT WORK WITHOUT FEAR OF NEGATIVE CONSEQUENCES FOR THEMSELVES?



At this year's Global Ethics Summit, we took a snap poll during our culture sessions to understand the frequency of measuring culture; and reporting wrongdoing at work.

68%

of attendees measured culture in the last 12 months

### KIMBERLY-CLARK ON CREATING AND SUSTAINING AN UPSTANDER CULTURE

In this session, Kurt Drake, Vice President and Chief Ethics and Compliance Officer, and Jeremy Wilson, Director, Global Compliance at **Kimberly-Clark** shared insights on the company's integrity program. They covered:

- Foundational elements to foster an upstander culture
- Integrating program objectives into the business
- Tools to continue the momentum, including an interactive code of conduct, and a champions program of local leaders to drive nudge training and communications
- CEO and executive involvement in driving integrity
- Continued risk assessment and data analysis

Watch Full Session in the Knowledge Center

Keep innovating the program...make the program **practical and real**. The more we do this, the more people will engage.



Kurt Drake

Vice President, Chief Ethics & Compliance Officer, Kimberly-Clark

### THE DEMAND FOR TRANSPARENCY: HOW COMPLIANCE LEADERS ARE SUPPORTING EMPLOYEES

Today, employees, consumers and other stakeholders are demanding that companies act with integrity, have a voice on issues, and share data on how they are addressing top issues.

This panel shared best practices on how data can be used to foster greater transparency and build employee confidence.

"We are going from a speak-up culture to creating a culture of trust where employees and stakeholders feel supported, and that they can trust the system. Equally as important is establishing a feeling of safety where employees can go internally to report any concern that ties into transparency, channels, and the responsiveness of the process," said Pauline Blondet, Senior Vice President Global Customer Success, **GAN Integrity.** 

Amyn Thawer, Head of Global Compliance & Integrity, **LinkedIn**, shared that social media continues to serve as an avenue where employees voice their concerns. "Ultimately, our goal is to inspire confidence in the company that it will do the right thing and do right by its employees."

Watch Full Session in the Knowledge Center

It's good practice to work in **tandem with other areas of the company**, supporting HR, DEI initiatives, IT, and see how you can **come together to inspire confidence among employees**.



#### Lynn Usdan

Senior Vice President, Ethics & Compliance, LVMH Moët Hennessy Louis Vuitton Inc.

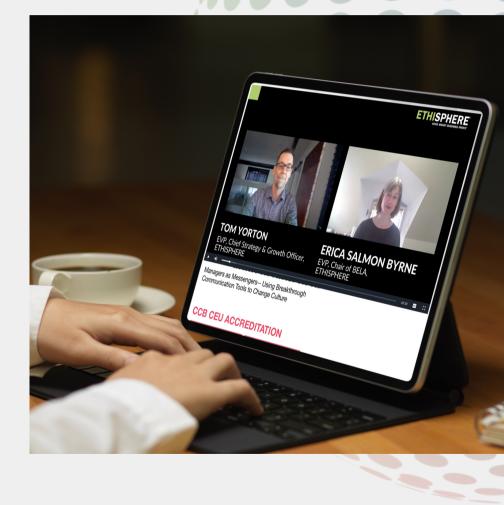
### LEVERAGING TOOLS, DIGITAL INNOVATION AND MORE TO SUPPORT A COMPANY'S CULTURE

How do you engage a global workforce and shape a culture of ethics ... across borders, time zones, and generations?

Hear **Ethisphere** leaders Erica Salmon Byrne, Chair, **BELA**, and Tom Yorton, Executive Vice President, Chief Strategy & Growth Officer discuss:

- How companies can leverage tools, digital innovation, and more to help support a company's culture
- Moving to a 'daily vitamin vs an annual inoculation' approach. Ways to foster ongoing communications about ethics and compliance
- Integrating storytelling into your communications for greater impact

Watch Full Session in the Knowledge Center



## Ethical Culture Masterclass Series

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WWW.ETHISPHERE.COM/MASTERCLASS

### CULTURE TRENDS & CURRENT ISSUES

CONVERSATIONS ON WELLNESS, BEHAVIORAL SCIENCE AND IMPLICATIONS OF THE PANDEMIC

#### FEATURED SESSIONS

- The Well-Being of People: Addressing Employee Burnout, Mental Health, and the Strain on Ethical Decision-Making
- Behavioral Science: Are there better ways to influence culture?

THE WELL-BEING OF PEOPLE: ADDRESSING EMPLOYEE BURNOUT, MENTAL HEALTH, AND THE STRAIN ON ETHICAL DECISION-MAKING

This panel, featuring Tamsin Fast, Chief Compliance Officer, **Aramark**; Keturah Pestel, Director, Business Ethics, **Thrivent**; and Melissa Stapleton Barnes, Senior Vice President, Enterprise Risk Management, and Chief Ethics and Compliance Officer, **Eli Lilly and Company**—explored:

- Ways for E&C teams to approach burnout and mental health
- Tools for balancing home and work lives
- The impact of mental strain on ethical decision-making

They also addressed the challenges of remote working and shared the following tips:

- No camera Wednesdays
- Ask your team to describe how they feel with one emoji
- Walk and talk meetings

**Now available on our Global Ethics Summit Knowledge Center:** A series on how to practice mindfulness at work, featuring Kristin Chmela, Speech-Language Pathologist, and Mindfulness Teacher.

Watch Full Session in the Knowledge Center

At Aramark, our **hospitality culture sprung into action**. We had a town hall where senior leadership devoted a significant amount of time to bring in an expert to talk about **mental health and overall well-being.** 



#### **Tamsin Fast**

Chief Compliance Officer, Aramark 6

There is an underlying theme here around **communication**, and I think it underscores how very important communication is to not only to keeping employees engaged, but frankly to maintaining an effective ethics and compliance program.



#### **MELISSA STAPLETON** BARNES

Senior Vice President. **Enterprise Risk** Management, and Chief **Ethics & Compliance** Officer, **Eli Lilly and Company** 

The Well-Being of People: Addressing Employee Burnout, Mental Health, and the Potential Strain on Ethical Decision-Making

in Fast

SPEAKERS

Melissa

Stapleton

Barnes Senior Vice

President. Enterprise Risk

Management, and

Chief Ethics and Compliance

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Melissa Stapleton Barnes

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Tamsin Fast Chief Compliance

Officer, Aramark

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Keturah Pestel Director, Business Ethics, Thrivent

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The Well-Being of People: Addressing

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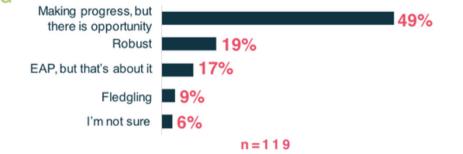
ing home and work lives, and how mental strain can

Employee Burnout, Mental Health, and the

Potential Strain on Ethical Decision-Making

### POLLING RESULTS FROM THE GLOBAL ETHICS SUMMIT ON MENTAL HEALTH AND ITS IMPACT ON CULTURE

#### THE WELL-BEING OF PEOPLE: ADDRESSING EMPLOYEE BURNOUT, MENTAL HEALTH, AND THE POTENTIAL STRAIN ON ETHICAL DECISION-MAKING



During this session, attendees engaged with polls that covered the employee well-being; and the uptick in mental health initiatives due to the pandemic.

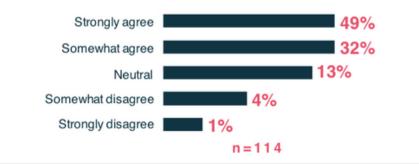
49%

of attendees stated that

mental health initiatives

have increased

MY COMPANY'S MENTAL HEALTH INITIATIVES HAVE INCREASED AS A RESULT OF THE PANDEMIC.



### PROMOTING A STRONG CULTURE OF ETHICS THROUGH COGNITIVE PSYCHOLOGY

In this session, Katie Lawler, Chief Ethics Officer, **U.S. Bank**, Matthew Solomon, Director of Ethical Culture, **AT&T,** Maryam Hussain, Partner, Forensic & Integrity Services, **EY**, and **Ethiphere's** Erica Salmon Byrne, Chair of the Business Ethics Leadership Alliance (BELA), explored the senior leader's role in promoting a strong culture of ethics.

Choice architecture. Nudge theory. Loss aversion. Anchoring. What do all these concepts have in common, and how can they make your conversations with employees more effective?

This session looked at leveraging behavioral science and cognitive psychology to understand where people are creating risk -- and steps to stop it.

According to Solomon, in order for a company's internal system to work, compliance leaders need to meet them where they are. However, how you do this in a large company can be a challenge and requires support from all parts of the company.

"We have all heard about 'tone messaging,' which typically focuses on 'tone-at-the top.' Is the senior leadership team speaking about ethics and integrity and making sure it is heard?" said Solomon. There have been enormous amounts of positive change during the past 20 years in terms of our **public discourse around ethics and compliance**, but there are also recurring patterns of misconduct or unethical behavior that we keep failing to prevent or detect early, despite all of that effort. What became evident to me through my practical experience was that it's clear that a lot of **behavior is not driven by informational persuasion, but it's about the environment in which people find themselves.** By changing that environment, we can change the way in which choices are made.



#### Maryam Hus<u>sain</u>

EY Forensic & Integrity Services Partner

### EY GLOBAL INTEGRITY REPORT

The 2020 EY Global Integrity Report explores the views of more than 3,000 respondents across 33 countries and examines the key actions needed for organizations to prioritize their integrity agendas'. It covers the range of risks for business during the pandemic and provides recommendations for building integrity into operations as companies deal with existing and new threats in a post-COVID-19 world.

**Read the Full Report** 



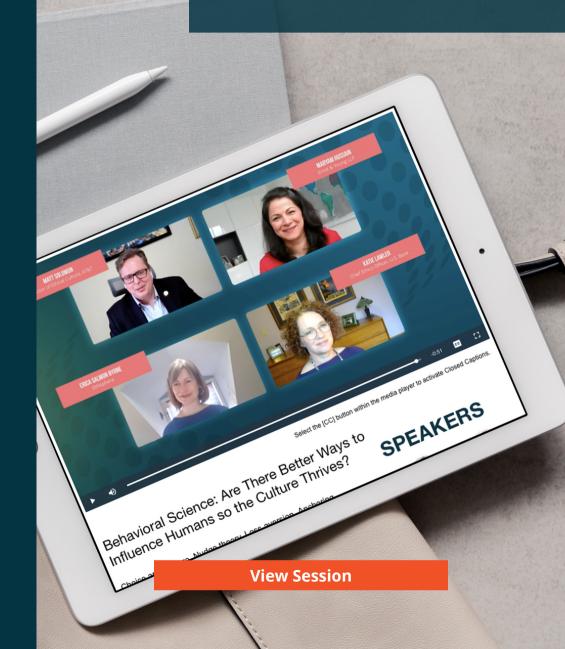
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Tone messaging is necessary but not always sufficient. I think as you start to propagate information throughout the organization, **you have to pay close attention to the business units you are trying to reach.** These are folk who have immense goals, their KPIs are strict.



Matthew Solomon,

Director of Ethical Culture, AT&T Behavioral Science: Are There Better Ways to Influence Humans so the Culture Thrives?







"LVMH is a massive organization, we have over 70+ brands under our umbrella, of which easily 40 or 50 of them are here in the US. For us, people, business, the brand is the mantra - **but every vertical will adopt this mantra in different ways**.

However, providing them with this high-level strategy ensures that we are all on the same path. Given that we are all reporting from home, we are invited into each other's lives and the **pandemic has shown us that we have more commonalities than differences**."

#### **Corey Smith**

Vice President of Diversity & Inclusion, LVMH Moët Hennessy Louis Vuitton Inc.

Listen to the Conversation

#### LVMH on Adapting to a New, Virtual Environment

### Morrison & Foerster: **COVID-19** Crisis Response

Listen to the Podcast





ESB: Miriam, so much of your practice has been focused on data breaches and cyber-attacks. How are you thinking about lessons that companies have learned coming out of some of the data governance structures, and how they might apply to the companies are responding n that

#### MORRISON FOERSTER

### DATA & RESOURCES

#### ADDITIONAL INSIGHTS AND RESOURCES TO GUIDE CULTURE IMPROVEMENTS

#### FEATURED SESSION:

• Better Angels: Morality and Meaning in the Post-Pandemic Society

## THE HARRIS POLL: IN THE LAST YEAR PUBLIC OPINION HAS SHIFTED

America is emerging from the pandemic as a nation changed in fundamental ways, according to longitudinal weekly surveys from **The Harris Poll,** a US market research and analytics company that has been tracking the sentiment, behaviors, and motivations of American adults since 1963.

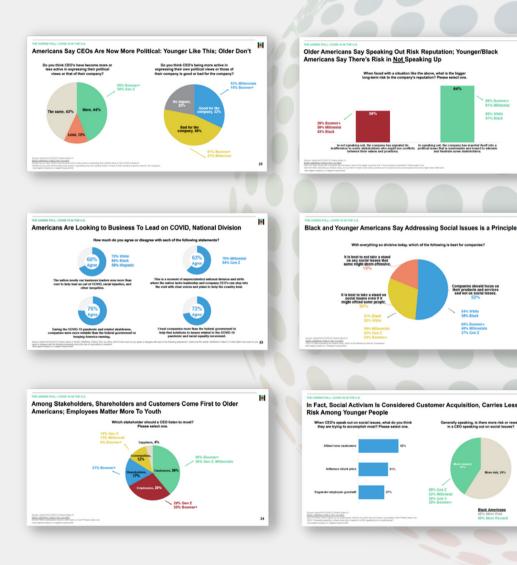
John Gerzema, Chief Executive Officer, the Harris Poll, who also serves on **Ethisphere's Equity and Social Justice Initiative advisory council**, shared his thoughts and compelling data at this year's Global Ethics Summit.

Harris data reveals that amidst the turbulence of 2020, public opinion has shifted on a range of issues from social values and voter priorities to expectations of business and leadership.

Underscoring all these changes is the theme of morality. Learn about the evolving role of business in light of the demands sound for a more equitable and just society.

Visit the Global Ethics Summit's Knowledge Center for the full session and download the accompanying slides!

Watch Full Session in the Knowledge Center



Better Angels: Morality and Meaning in the Post-Pandemic Society

Say CEOS A

View Session



Our data shows that **65% of Americans are familiar with the idea of 'cancel culture' and 36% percent believe that it is a big problem.** 

Over half of Americans, on both sides of the political aisles said they are concerned about losing their job if they were to express their honest views. This is significant and concerning because the **foundation of America is built upon freedom of speech**, and you have more than half of Americans that are concerned that if they express their true viewpoints online, they can actually be banned or fired.



#### John Gerzema

Chief Executive Officer, The Harris Poll

### BUSINESS ETHICS LEADERSHIP ALLIANCE (BELA)

Become an enterprise member of BELA and you and your team can engage with senior legal, ethics, and compliance leaders from 60+ industries in more than 325 companies worldwide. Be part of a community that shares best practices and expertise.

Gain access to exclusive data, benchmarking, opportunities to showcase your program, and more.

Learn More about BELA



### THE BUSINESS ETHICS LEADERSHIP ALLIANCE



The Business Ethics Leadership Alliance (BELA) is a globally recognized organization of leading companies collaborating together to share best practices in governance, risk management, compliance, and ethics.

The **Global Ethics Summit** brings together the largest gathering of BELA members, providing an opportunity to exchange views and learn from some of the world's most respected industry leaders.

BELA member organizations, inclusive of academic institutions, represent more than **60 industries** headquartered in **15 different countries**. It has become a pivotal platform of connected leadership dedicated to progressing company standards and practices across global and regional business ecosystems.

In 2017, BELA expanded to South Asia. The <u>South Asia Chapter</u> includes a community of select Founding Member companies and "integrity partners" who have taken on a leadership role in inspiring best practices in ethics, integrity, and anti-corruption efforts across India and South Asia. Applying a similar model, BELA's chapter expansion reaches across the Americas, Europe, and <u>Asia Pacific</u>.

BELA's membership has since grown to a community of 325+ companies that recognize the inherent value of promoting ethical leadership and world-class compliance culture. Learn more about BELA by visiting: **http://bela.ethisphere.com/** 

#### ASIA-PACIFIC



CANADA



LATIN AMERICA



INDIA







### THE BELA EXPERIENCE AT THE 2021 VIRTUAL GLOBAL ETHICS SUMMIT



"Influencing cultures, creating effective data-based ESG programs, and introducing new tools and processes to advance business strategies is a science and it ought to be viewed that way. The Global Ethics Summit is a chance for ethics and data 'scientists' to gather together, share best practices and implement the right kind of changes that create a more effective and productive business ecosystem."



Terry Stringer, Head of Ethics and Compliance Office & Center of Excellence at HP Each year, the Global Ethics Summit has a remarkable way of connecting the community so we can collectively examine problems and offer practical ways of overcoming them. This bolsters business performance and helps us as a profession enable much need societal changes as well."



Sidney Majalya, Vice President, Legal & Chief Compliance Officer at Intel Corporation

"We're pleased to be a part of an international list of companies taking advantage of the Business Ethics Leadership Alliance and look forward to engaging and sharing insights with our peers."



Leigh Mulholland, Chief Compliance Officer at Capital Power

"The Global Ethics Summit offers compliance leaders, and the legal community a great opportunity to see what other companies are doing to meet the needs of customers in what is currently a chaotic environment. We can have a positive impact if business leaders continue to drive real, positive change aligned with regulatory and compliance priorities."



Nancy Berardinelli-Krantz, Senior Vice President and Chief Counsel, Digital, Innovation, and Technology at Eaton

### BELA BENEFITS



Connecting You to Top Leaders, Data, Resources, and Experiences

- Tap into **leading practices** for ethics and compliance programs
- Network with senior leaders at global and local events
- Improve your program with resources and company contributed materials

- Benchmark your program against peers and leading practices
- Engage Ethisphere experts to connect you to data, companies and mentors
- Access an unparalleled data set to engage the C-suite and Board

### The 2021 BELA IMPACT AWARDS



The BELA Impact Awards was established to honor BELA member companies and individuals who have shared a unique level of **immersion** and **vision** to advance community engagement, growth, and transformation.

This year we recognized the following leaders for their dedication and work to help advance the community.



Community Champions: For member companies making outstanding contributions in the way of content resources, event participation, publications, and mentorship or peer-to-peer support.

Global Vanguards: For member companies showing exemplary leadership in expanding the reach of BELA and advancing our mission to meet the needs of a global compliance and ethics community.

### The 2021 BELA IMPACT AWARDS











BELA Beacons: Recognized for their individual leadership and fostering the growth of the BELA community through their personal efforts and generosity in sharing their time and expertise. MELISSA STAPLETON BARNES Eli Lilly and Company Emeritus

The BELA Emeritus award: Presented for enduring and inspirational advocacy for the compliance and ethics profession over the lifetime of an individual leader's involvement with BELA.

VIEW THE BELA IMPACT AWARDS VIDEOS

**BELA Beacons** 

**Community Champions** 

**Global Vangaurds** 

**BELA Emeritus** 

# STRONG ETHICS IS GOOD BUSINESS



According to data from Ethisphere's 2021 Ethics Index, the collection of publicly-traded companies recognized as recipients of this year's World's Most Ethical Companies designation, outperformed a comparable index of large-cap companies by 7.1 percentage points over the past five calendar years.

The outperformance, which we refer to as the "**Ethics Premium**," has remained consistent since we began tracking the equity performance of honoree companies.

We believe this outperformance is the result of the kinds of practices that lead a company to be on our list--practices that demonstrate investment in their people, in their culture, and in their communities. We see in this data that those practices, over time, lead to stronger financial performance.

As evidenced by this continued outperformance, **ethics is good for business.** 

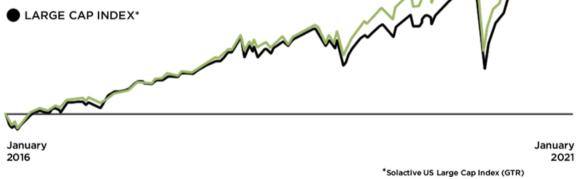
**Download the Ethics Premium** 

#### ETHISPHERE COOD SMADT RUSINESS DROET

#### **FIVE-YEAR ETHICS PREMIUM: 7.1%**

According to Ethisphere's Ethics Index, the listed 2021 World's Most Ethical Companies honorees outperformed a comparable index of large cap companies by 7.1 percentage points from January 2016 to January 2021.

#### 2021 ETHICS INDEX



# **ORGANIZATIONS REPRESENTED IN 2021**

- 3M
- AARP
- ABB Group
- Abercrombie and Fitch Co
- ABM Industries Inc.
- AECOM
- AES
- Affinity Federal Credit Union
- Aflac, Inc.
- Ahold Delhaize
- Alcon
- AlixPartners
- Allegheny Technologies Incorporated
- Allianz Life Insurance Company of North America
- Amazon.com. Inc.
- American Airlines, Inc.
- American Family Insurance
- Anheuser-Busch InBev
- Apple Inc.
- Applied Materials Inc
- Aptiv
- Aramark Corporation
- Archer Daniels Midland Company
- ARM Ltd.
- Arthur J. Gallagher & Co.
- Assurant, Inc.
- Atlantic LNG
- ATT Services. Inc.
- Autoridad del Canal de Panama
- Avangrid, Inc.
- Avaya Inc.
- Avolon
- Avon Products, Inc.
- Baker Hughes
- Baker McKenzie
- Ball Corporation
- Bank of Montreal
- Baptist Health South Florida
- Baxter
- Bayer AG
- BC Hvdro
- BDP International, Inc.
- · Best Buy Co., Inc.
- Blue Shield of California

- Blue Yonder
- BlueCross and BlueShield of Florida
- BMO Financial Group
- Bombardier Inc.
- Bombardier Recreational Products Inc. (BRP)
- Booz Allen Hamilton, Inc.
- Boston Scientific Corporation ٠
- Bristol-Myers Squibb Co
- Broadcom
- Brown-Forman Corporation
- ٠ Cambia Health Solutions
- Canon USA ٠
- Capgemini
- Capital One Financial Corporation .
- Capital Power Corporation
- Cardinal Innovations Healthcare
- CareFirst BlueCross BlueShield
- Carnival Corporation
- CBRE Inc.
- Cementos Progreso
- Center for Audit Quality
- Charles Schwab Corporation
- Charoen Pokphand Group Co..Ltd.
- CHS Inc
- Cigna Corporation
- Cleveland Clinic
- Coalition for Integrity
- Cogeco
  - Cognizant Technology Solutions US Corp
  - · Colas USA, INC.
  - Colgate-Palmolive Company
  - Colorado Mesa University ٠
  - Comcast Corp ConvaTec

  - Convercent
  - Cooper-Standard Holdings Inc
  - Corteva
- Credicorp
- CSAA Insurance Group
- Cummins Inc
- Daniels Fund
- . Dell Technologies
- Deloitte

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Deluxe Corporation

- Deutsche Telekom AG
- Diageo Plc
- Dr. Reddy's Laboratories

Henry Schein Inc

Hilton

HP Inc

(IBM)

• |LL

KLA

KBR, INC

Key Corp.

 Kimberly-Clark • KKR & Co. L.P.

Lear Corporation

Lincoln Financial Group

LinkedIn Corporation

Lowe's Companies, Inc.

• Magna International Inc.

ManpowerGroup Inc

• Mahindra & Mahindra Limited

Lonza Group Ltd.

LRN Corporation

Leidos, Inc.

Lextegrity

Intuit Inc.

Investors Bank

Ipsen Pharma

Kaiser Permanente

.

Hertz Corporation

Hewlett Packard Enterprise

Honeywell International Inc.

Indiana University - Kelley School of Business

International Business Machines Corporation

International Economic Justice League, Inc.

International Paper Company

Johnson & Johnson Services, Inc.

Kohl's Department Stores, Inc

Las Vegas Sands Corporation

Lockheed Martin Corporation

LVMH Moët Hennessy Louis Vuitton Inc.

Laboratory Corporation of America Holdings

Holland America Group

Hubbell Incorporated

Ingredion Incorporated

Husch Blackwell

Husqvarna AB

informed360

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- DSI
- DTE Energy Co.
- Early Warning Services, LLC
- Eastman Chemical Company
- Eaton Corporation
- eBay Inc.

Elevate Credit

Endeavor

Equitable

• Ericsson

• Flex

- Edward D. Jones & Co., L.P.
- Elanco Animal Health • Eli Lilly and Company

• Energizer Holdings Inc

• Ernst & Young (EY)

• Farm Credit Canada

FedEx Corporation

Fifth Third Bancorp

Fordham University

Fortive Corporation

General Electric Company

Georgetown University Law Center

Georgia Pacific Div Koch Industries

• Goodyear Tire & Rubber Company

Health Care Service Corporation (HCSC)

Georgia Institute of Technology

Grupo Energia Bogota

Fresnillo plc

Frontera Energy

GAN Integrity

Garnet Health

Guidehouse

Hanesbrands Inc

HCA Healthcare

Halliburton

Genpact

FirstEnergy Corp

• Eller College of Management

Export Development Canada (EDC)

• FactSet Research Systems Inc.

- Mars Incorporated
- Marsh & McClennan
- Marvell Technology Group Ltd.
- Massachusetts Mutual Life Insurance Company
  (MassMutual)
- Mattel
- McDonald's Corporation
- Mental Health Partnerships
- MetLife, Inc.
- Microsoft Corporation
- Millicom
- Milliken & Company
- MiMedx
- Modec International Inc.
- Moderna
- Morae Global
- Morrison & Foerster
- MTA Metropolitan Transportation Authority
- Museo Carlos F. Novella
- NASA
- National Association of Black Compliance & Risk Management Professionals, Inc.
- National Grid
- National Instruments Corporation
- Nationwide Mutual Insurance Company
- Natura Cosméticos
- Netflix, Inc.
- New York Life Insurance Co
- Newmont Corporation
- NFP
- Nissan North America, INC.
- Noblis, Inc.
- Nokia Corporation
- Northwell Health
- Novartis AG
- Novo Nordisk Inc.
- Nutrien
- OhioHealth Corporation
- ON Semiconductor
- One Trust
- Orica Inc
- Oshkosh Corporation
- Otsuka America Pharmaceutical, Inc
- Owens Corning
- Pacific Dental Services, LLC
- Pacific Life Insurance Company
- Pampa Energia
- Panasonic Corporation of North America
- Pantaleon Group
- Parsons Corporation
- Paychex, Inc.
- Penn State University

- PepsiCo Inc
- Pfizer IncPolaris Industries
- Polaris industrie
   Premier Inc
- Principal Financial Services Inc.
- Principal Financial Services Inc
- Privilege Underwriters Reciprocal Exchange

TE Connectivity

TELUS

Teekay Corporation

Teradata Corporation

• The AES Corporation

The Harris Poll

The Coca-Cola Company

The Hershev Company

• The J.M. Smucker Company

The MetroHealth System

The Nature Conservancy

• The Weir Group PLC

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• TTech Holdings, Inc.

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US Dermatology Partners

University of Northern Colorado

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• Tom Fox Law

TrueBlue Inc.

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UC Riverside

Unum Group

Virginia Tech

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Vizient, Inc.

VMware Inc.

Vodafone

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Volley Solutions

Waypoint GRC

Voya Financial Inc

• Waste Management Inc.

Valvoline Cummins

Vale

Uber Inc

UCB, Inc.

UL LLC

TIAA

TOL

• The Lincoln Electric Company

Tenet Healthcare Corporatio

Tennessee Valley Authority

TEVA Pharmaceuticals USA

• The Hartford Financial Services Group, Inc.

The Prudential Assurance Company Limited

The William Carter Company (Carter's Inc.)

U.S. Department of Justice, Criminal Division

United Services Automobile Association (USAA)

Wells Fargo & CompanyWellStar Health System, Inc.

Western Digital Corporation

Westinghouse Electric Company LLC

William E. Connor & Associates Ltd.

**Organizations** 

Industries

Participants

Wyndham Hotels and Resorts

Western Union Company

Weyerhaeuser Company

Zimmer Biomet Holdings

Wood Group

Workday, Inc

Zoltek

• Zoom

• Xcel Energy Inc.

350+

82+

1.500+

- Progressive Corporation
- Public Service Enterprise Group Inc.
- PwC PricewaterhouseCoopers
- Qurate Retail Group (QVC Inc)
- Raizes Integridade e Compliance
- Ramky Enviro Engineers
- Realogy Holdings Corp.
- Reckitt Benckiser
- Redington Gulf FZE
- Regeneron Pharmaceuticals, Inc.
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- salesforce.com, inc.
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- Samsung Electronics America, Inc.
- Sandvik Group
- Saudi Telecom
- Schnitzer Steel Industries, Inc.
- Schweitzer-Mauduit International, Inc.
- Second City Works
- SERTECPET
- Siemens AG
- Skillsoft
- Snap, Inc. (Formerly Snapchat)
- SNC-Lavalin
- Snowfish
- Sociedad Minera de Santander S.A.S. Minesa
- Sodexo, Inc.
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- Southwire Company
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- Stetson University

• Tata Steel Limited

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• STO Building Group (Structure Tone Inc.)

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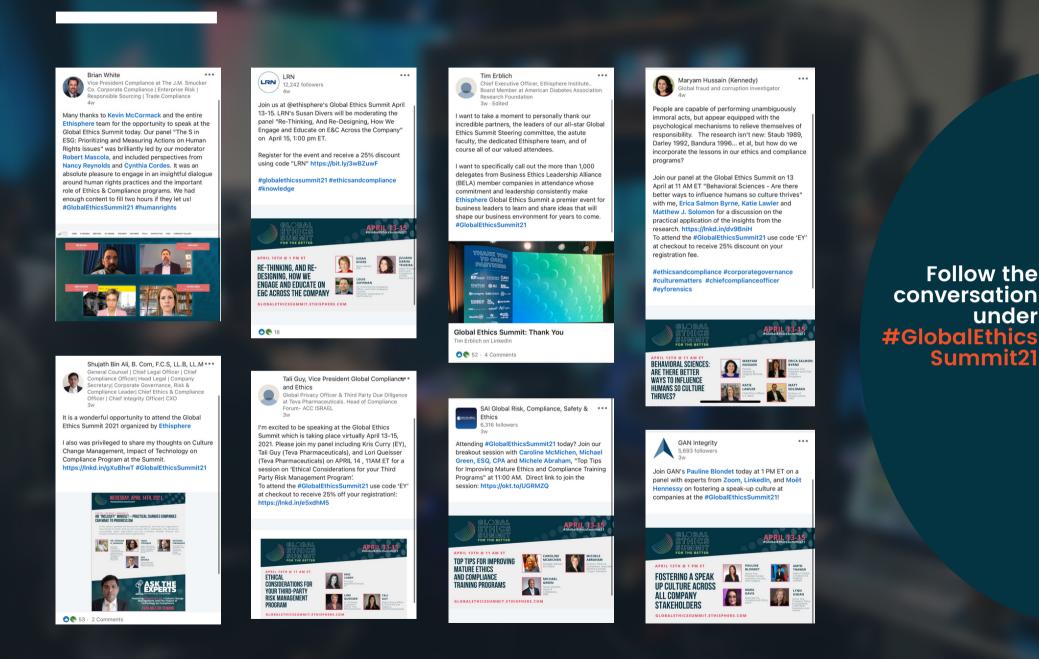
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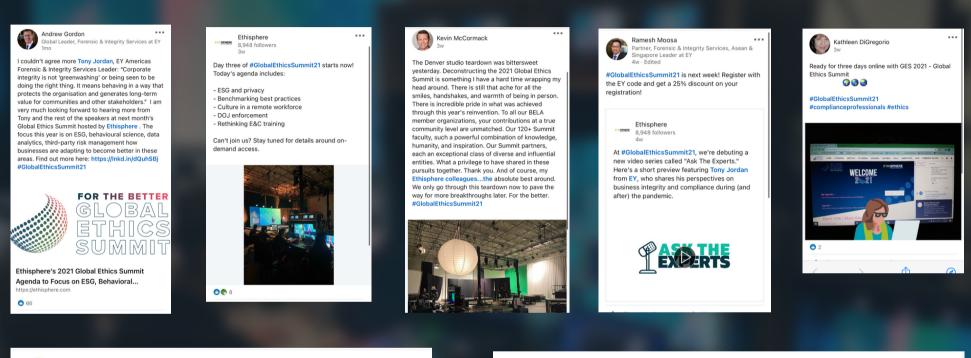
Powerful Roster of Speakers Including C-Suite From Flex, AARP, VF Corporation, Premier Inc., Zoom at Upcoming Virtual Global Ethics Summit - *Ethisphere* 

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## SOCIAL MEDIA AT THE 2021 GLOBAL ETHICS SUMMIT



#### Center for Audit Quality @TheCAQ · Apr 15

CAO

Q: We often hear that a real challenge with ESG reporting today is that there is not one standard or framework to follow. How do we make sure that the information companies choose to disclose is comparable from company to company and reliable? #GlobalEthicsSummit21

# R

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#### Revathi Advaithi @RevathiAdvaithi · Apr 13

Thank you Premier CEO Michael Alkire @AlkirePremier and @HuschBlackwell's Catherine Hanaway, for an interesting ethics conversation today at the @Ethisphere #GlobalEthicsSummit21 focused on new strategies for creating long-term stakeholder value. #leadership #ethics #culture

#### Follow the conversation under #GlobalEthicsSummit21

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WE WOULD LIKE TO THANK THE BUSINESS LEADERS RESPONSIBLE FOR PROVIDING GUIDANCE IN DIRECTING THE TOPICS AND CONTENT AT THE 12TH ANNUAL GLOBAL ETHICS SUMMIT



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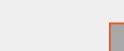
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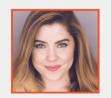
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